



GLOBAL
WEALTH
STRATEGIES &
ASSOCIATES

Dominic T. Kay, DipPFS

Global Wealth Strategies & Associates, LLC.

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Part 2B Brochure

This brochure supplement provides information about Global Wealth Strategies & Associates (“GWS&A”) that supplements our brochure. You should have received a copy of that brochure. Please contact us at (720) 420-4870 or Adam@gwsa.us if you did not receive Global Wealth Strategies & Associates, LLC brochure or if you have any questions about the contents of this supplement. Additional information about Dominic Kay is available on the SEC’s website at www.adviserinfo.sec.gov by searching CRD#6721922.

ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

Dominic T. Kay

Year of Birth: 1991

Educational Background:

- 2013: University of Portsmouth; B.A.; Criminology & Criminal Justice

Business Background:

- *Global Wealth Strategies & Associates, LLC*, Investment Adviser Representative, 02/24 – Present
- *Skybound Wealth Management*, Senior Paraplanner, 05/2020 – 09/2023
- *St. Jame's Place Wealth Management*, Paraplanner, 01/2018 – 04/2020
- Rightirement Wealth Partners, Client Service Associate, 09/2016 – 12/2018
- *Dentons Pensions*, Pension Administrator, 01/2015 – 07/2016
- *Aviva*, Corporate Pensions Administrator, 10/2013 – 01/2015

ITEM 3 – DISCIPLINARY INFORMATION

Dominic Kay does not have a history of any investment-related legal or disciplinary events that may be deemed to be material to a client's consideration of Dominic Kay to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Dominic Kay. <https://brokercheck.finra.org/>

ITEM 4 – OTHER BUSINESS ACTIVITIES

Dominic Kay holds an insurance license to sell insurance products. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He will receive compensation from selling insurance products and therefore receive economic benefits for this activity. This activity may create a conflict of interest with clients. The client is under no obligation to purchase insurance through Dominic Kay on a commissionable basis. To address this, disclosure is made to the client at the time the purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation to be paid by the client and/or received by the insurance agent. Clients have the right to decide whether to act on the recommendation and the right to purchase any insurance products through the insurance agent of their choice. The Firm and its Investment Adviser Representative will always act in the best interest of the client.

ITEM 5 – ADDITIONAL COMPENSATION

Dominic Kay does not receive additional compensation beyond the scope of his role as your investment adviser representative and items listed in Item 4.

ITEM 6 – SUPERVISION

Dominic Kay is supervised through a compliance program designed to prevent and detect violations of federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Adam Way, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Adam Way reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Adam Way may be reached at 720-420-4876.