



GLOBAL  
WEALTH  
STRATEGIES &  
ASSOCIATES

**Eric M. Jacobs, AIF<sup>®</sup>, APMA<sup>®</sup>**  
**Global Wealth Strategies & Associates, LLC.**

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**Part 2B Brochure**

This brochure supplement provides information about Global Wealth Strategies & Associates (“GWS&A”) that supplements our brochure. You should have received a copy of that brochure. Please contact us at (720) 420-4870 or [adam@globalwealthstrategies.io](mailto:adam@globalwealthstrategies.io) if you did not receive Global Wealth Strategies & Associates, LLC brochure or if you have any questions about the contents of this supplement. Additional information about Eric Jacobs is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 5546214.

## ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

**Eric M. Jacobs, AIF®, APMA®**

**Year of Birth:** 1981

### **Educational Background:**

- 2015: University of Colorado; M.B.A.; Finance/Risk Management & Insurance
- 2004: University of Redlands; B.A.; Business

### **Business Background:**

- *Global Wealth Strategies and Associates, LLC.*, Investment Adviser, 03/2022-Present
- *MML Investors Services, LLC.*, Registered Representative, 01/2015-03/2022
- *Northwestern Mutual Investment Services, LLC.*, Investment Adviser, 08/2012-12/2014
- *J.P. Morgan Institutional Investments, Inc.*, Investment Adviser, 04/2011-06/2012
- *Chase Investment Services Corp.*, Investment Adviser, 05/2009-04/2011
- *Edward Jones*, Registered Representative, 08/2008-02/2009

### **Professional Designations:**

- <sup>1</sup>AIF, Accredited Investment Fiduciary, 2015
- <sup>2</sup>APMA®, Accredited Portfolio Management Advisor, 2019

#### **<sup>1</sup>Minimum Qualifications for the Accredited Investment Fiduciary® (AIF®) Designation.**

AIF is offered by the Center for Fiduciary Studies, LLC, a Fiduciary360 (fi360) company. The AIF® training curriculum is offered via a web-based program or a blended learning option. A candidate must successfully complete the training curriculum and an examination in order to file for the AIF designation. All designees must attest to both a Code of Ethics and Conduct Standards.

#### **<sup>2</sup>Minimum Qualifications for the Accredited Portfolio Management Advisor<sup>SM</sup> (APMA®) Designation.**

APMP® is issued by the College for Financial Planning. A candidate must complete an 11-module course focusing on program concepts, an instructor-led graduate course involving direct application of program topics and pass a final designation exam. 16 hours of continuing education must be completed every two years to maintain this designation.

## ITEM 3 – DISCIPLINARY INFORMATION

Eric Jacobs has no history of any legal or disciplinary events that deem to be material to a client's consideration of Eric Jacobs to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Eric Jacobs. <https://brokercheck.finra.org/>

## ITEM 4 – OTHER BUSINESS ACTIVITIES

Eric Jacobs holds an insurance license to sell insurance products. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He will receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interests with clients. The client is under no obligation to purchase insurance through Eric Jacobs on a commissionable basis. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation to be paid by the client and/or received by the insurance agent. Clients have the right to decide whether to act on the recommendation and the right to purchase any insurance products through

the insurance agent of their choice. The Firm and its Investment Adviser Representative will always act in the best interest of the client.

Eric Jacobs is the managing member of F.A.W. Holdings, LLC, an entity used for his personal real estate investments. This is a non-investment related activity in which Mr. Jacobs spends minimal time each month.

Eric Jacobs is the managing member of Clark Avenue Holdings, LLC, an entity used for his ownership into GWS&A. This is a non-investment related activity in which Mr. Jacobs spends minimal time each month.

In addition, Eric Jacobs has partial ownership of the following family owned real estate investment groups: JJV, LLP and PJ-3, LLC. Mr. Jacobs spends less than 1% of his time each month in his role.

#### **ITEM 5 – ADDITIONAL COMPENSATION**

Eric Jacobs does not receive additional compensation beyond the scope of his role as your investment adviser representative and items listed in Item 4.

#### **ITEM 6 – SUPERVISION**

Eric Jacobs is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Adam Way, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Adam Way reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Adam Way may be reached at 720-420-4876.